

University of Groningen

New insights in the disinfection of the root canal system using different research models

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DOI:
[10.33612/diss.119787964](https://doi.org/10.33612/diss.119787964)

IMPORTANT NOTE: You are advised to consult the publisher's version (publisher's PDF) if you wish to cite from it. Please check the document version below.

Document Version
Publisher's PDF, also known as Version of record

Publication date:
2020

[Link to publication in University of Groningen/UMCG research database](#)

Citation for published version (APA):
Pereira, T. (2020). *New insights in the disinfection of the root canal system using different research models*. [Thesis fully internal (DIV), University of Groningen]. University of Groningen.
<https://doi.org/10.33612/diss.119787964>

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SUMMARY

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SUMMARY

Biofilm in the root canal system is a great challenge during disinfection in the endodontic therapy, mainly the biofilm located in areas of anatomic complexities.

Chapter 1 gives a brief overview on how endodontic infection starts and continues its path through the root canal space, where the bacteria grow and function in a biofilm mode of life. Besides, it shows how the anatomic complexities of the root canal system can influence disinfection and the most common antimicrobials agents used in the endodontic therapy.

In this regard, **Chapter 2** focuses on the most common intracanal medication used between sessions of endodontic therapy: the calcium hydroxide paste. Thus, in this study we evaluated the intratubular decontamination ability of five different formulations of calcium hydroxide pastes against *Enterococcus faecalis* and their penetrability in dentine by Confocal Laser Scanning Microscopy. The physicochemical properties of the different calcium hydroxide pastes, such as pH, solubility and calcium ion release were evaluated using a pHmeter, the Micro CT and an atomic absorption spectrophotometer. For the manipulation of calcium hydroxide, distilled water and propylene glycol were used as vehicles. Besides, propylene glycol was used with three additives, ethanolic extract of propolis, chlorhexidine and camphorated paramonochlorophenol (CPMC). The paste with CPMC was significantly more antimicrobial when compared to the paste with distilled water. In general, the pastes with propylene glycol as vehicle were more antimicrobial, penetrated better in the dentine tubules and had better physicochemical properties.

In **Chapter 3** we evaluate the antimicrobial efficacy of an innovative root canal irrigant with silver nanoparticles, in comparison with chlorhexidine and the gold standard sodium hypochlorite. The irrigants were tested for 5, 15 and 30 minutes on an *Enterococcus faecalis* biofilm grown on bovine dentine blocks and inside dentinal tubules of bovine teeth. The samples were analysed by Confocal Laser Scanning Microscopy showing that sodium hypochlorite showed the best antibiofilm effect, in also in the dentinal tubules, followed by chlorhexidine and the innovative irrigant with silver nanoparticles. The latter showed to be ineffective against *Enterococcus faecalis* in comparison with the other tested irrigants used in the endodontic therapy.

In **Chapter 4**, an Optical Coherence Tomography analysis of biofilm removal from polydimethylsiloxane root canal models with lateral morphological features was described for the first time. Sodium hypochlorite at various concentrations and in different flow rates were used for root canal irrigation followed by a final irrigation with buffer at a high flow rate. Besides, irrigant velocity profiles inside the root canal model were simulated using a validated method of Computational Fluid Dynamics. These flow velocities were correlated with biofilm removal. The irrigant flow rate had more influence on biofilm removal than the irrigant concentration. The irrigant velocity influenced biofilm removal since in areas with higher velocities more biofilm was removed. A high flow rate was also related with higher irrigant velocity inside the model.

In **Chapter 5** we presented a comparison of four different irrigation protocols regarding their ability to remove biofilm as well from a root canal model with lateral

morphological features like an isthmus and lateral canal like structure as from human dentine tubules, using Optical Coherence Tomography or Confocal Laser Scanning Microscopy as evaluation method respectively. The irrigation protocols used were syringe irrigation with a buffer solution (control group), a modified salt solution called RISA, sodium hypochlorite and ultrasonic activation of the buffer solution followed by a final irrigation at a higher flow rate using the buffer solution. The mechanical effect of syringe irrigation showed to be a relevant factor to be observed when studying biofilm removal. Ultrasonic activation of the irrigant showed to be effective when the contact surface biofilm-irrigant was small. In the dentinal tubule model, besides the antimicrobial efficacy, a recolonization analysis was performed five days after the treatment. This analysis showed that the post treatment remaining biofilm was able to regrow inside the dentine tubules in a five days period without any extra nutrition. The antibiofilm effect of buffer solution, RISA and sodium hypochlorite was tested on a biofilm grown on dentine disks. The biofilm thickness reduction and biofilm viscoelastic properties of the post treatment remaining biofilm were evaluated, showing no difference among the studied substances.

In **Chapter 6** we further investigated the influence of the irrigant, flow rate, irrigant refreshment and exposure time on biofilm removal from the root canal models with the lateral morphological features by Optical Coherence Tomography. Sodium hypochlorite and demineralized water (control group) were used as irrigant solutions delivered in the root canal model with flow rate 0.05 or 0.1 mL/s. Sodium hypochlorite and a higher flow rate showed more biofilm removal from the isthmus

like structures, whereas for the lateral canal the flow rate had no influence. Refreshment did not have a significant effect on biofilm removal. Analysis of the sequential refreshments in the same biofilm showed a cumulative effect of irrigation on the biofilm.

Based on the results obtained in the studies described in the previous chapters, **Chapter 7** discussed the findings, different biofilm models used and correlations among them. Also, it gives an overview of the future perspectives based on the performed studies in order to optimize disinfection procedures during endodontic therapy possibly leading an improvement in healing of apical periodontitis.

SAMENVATTING

Biofilm in het wortelkanaalsysteem is waarschijnlijk de grootste uitdaging tijdens de wortelkanaalbehandeling, vooral de biofilm die zich bevindt in de moeilijk bereikbare anatomisch complexe gebieden zoals bijvoorbeeld een ovale uitloper of een isthmus tussen twee kanalen. **Hoofdstuk 1** geeft een kort overzicht over het verloop van de infectie van het wortelkanaalsysteem. Ook wordt er aandacht besteed aan de rol van de complexe anatomie tijdens de desinfectie en de meest voorkomende desinfectie procedures en middelen die tijdens de wortelkanaalbehandeling worden gebruikt.

In **Hoofdstuk 2** focust de aandacht zich op de meest voorkomende wortelkanaal medicatie die toegepast wordt indien een wortelkanaalbehandeling in twee of meer zittingen wordt uitgevoerd : de calciumhydroxide (CH)-pasta. In deze studie hebben we de desinfectie van dentinetubuli van vijf verschillende samenstellingen van calciumhydroxide-pasta's geëvalueerd met behulp van confocale laser scanning microscopie. De chemisch-fysische eigenschappen van de verschillende calciumhydroxide-pasta's, zoals pH, oplosbaarheid en calciumionafgifte werden geëvalueerd met behulp van een pH-meter, de Micro-CT en een atoomabsorptiespectrofotometer. De CH werd ofwel opgelost in gedestilleerd water of propyleenglycol. Bovendien werd de propyleenglycolgroep opgesplitst in drie groepen met ieder verschillende toevoegingen, ethanol extract van propolis, chloorhexidine of kamferparamonochloorfenol (CPMC). De CH met CPMC had een significant beter effect in vergelijking met CH met gedestilleerd water. In het algemeen waren de

pasta's met propyleenglycol meer antimicrobieel, drongen beter door in de dentinetubuli en hadden betere chemisch-fysische eigenschappen.

In **Hoofdstuk 3** evalueren we het antimicrobiële effect van een innovatief-experimenteel wortelkanaalspoelmiddel met nano-zilverdeeltjes, in vergelijking met chloorhexidine (CHX) en de gouden standaard natriumhypochloriet (NaOCl). De spoelvroestofen werden gedurende 5, 15 en 30 minuten getest op een *Enterococcus faecalis*-biofilm gekweekt op dentineschijfjes en in dentinetubuli van rundertanden. De data werden geanalyseerd met behulp van confocale laser scanning microscopie. NaOCl vertoonde het beste antibiofilm-effect, ook in de dentinetubuli, gevolgd door CHX en het spoelmiddel met nano-zilverdeeltjes. Het nieuw geteste spoelmiddel met nano-zilverdeeltjes bleek niet effectief te zijn in het verwijderen van *Enterococcus faecalis* biofilm in vergelijking met de andere geteste spoelmiddelen die tijdens de wortelkanaalbehandeling worden gebruikt.

In **Hoofdstuk 4** werd voor het eerst gebruik gemaakt van optische coherentie tomografie om het verwijderen van biofilm uit wortelkanaalmodellen met laterale morfologische structuren zoals een lateraal kanaal of isthmus te analyseren. NaOCl in verschillende concentraties werd met verschillende stroomsnelheden getest tijdens wortelkanaalirrigatie gevolgd door een laatste irrigatie met buffer met een hoge stroomsnelheid. Aanvullend werden van de gebruikte stroomsnelheden vloeistofstroomprofielen in het wortelkanaalmodel gesimuleerd met behulp van een gevalideerde methode van Computational Fluid Dynamics. De stroomsnelheden werden gecorreleerd met het verwijderen van biofilm. De stroomsnelheid had meer

invloed op de verwijdering van de biofilm dan de concentratie van het spoelmiddel, in gebieden met hogere stroomsnelheden werd meer biofilm verwijderd.

In **Hoofdstuk 5** hebben we de effectiviteit van vier verschillende irrigatieprotocollen getest in het verwijderen van biofilm uit een wortelkanaalmodel met laterale morfologische kenmerken zoals een lateraal kanaal of isthmus gelijkende structuren en uit humane dentinetubuli, met respectievelijk optische coherentie tomografie of confocale laser scanning microscopie als evaluatiemethode. De gebruikte irrigatieprotocollen waren handirrigatie met een bufferoplossing (controlegroep), een gemodificeerde zoutoplossing genaamd RISA, NaOCl en ultrasone activering van de bufferoplossing, allen gevolgd door een laatste irrigatie met een bufferoplossing met een hoge stroomsnelheid. Het mechanische effect van handirrigatie bleek een relevante factor te zijn voor het verwijderen van de biofilm. Ultrasone activering van de buffer bleek effectief te zijn wanneer het contactoppervlak biofilm-spoelmiddel klein was. In het dentine tubulesmodel werd naast het antimicrobiële effect, vijf dagen na de behandeling een rekolonisatie-analyse uitgevoerd. Deze analyse toonde aan dat de ‘post treatment remaining biofilm’ binnen een periode van vijf dagen zonder extra voeding in de dentinetubuli kon groeien. Het antibiofilm-effect van bufferoplossing, RISA en NaOCl werd ook getest op een biofilm gekweekt op schijfjes dentine. De dikte van de biofilm en de visco-elastische eigenschappen van de biofilm na de behandeling werden geëvalueerd, er was geen significant verschil aantoonbaar tussen de onderzochte spoelvlloeistoffen.

In **Hoofdstuk 6** hebben we de invloed van de spoelvloeistof, de stroomsnelheid, de verversing van de spoelvloeistof en de applicatietijd op de verwijdering van biofilm uit de isthmus of lateraal kanaal gelijkende structuren in wortelkanaalmodellen geëvalueerd met behulp van optische coherentie tomografie. NaOCl en gedemineraliseerd water (controlegroep) werden gebruikt als spoelvloeistof met stroomsnelheden van 0,05 of 0,1 ml / sec. NaOCl in combinatie met een hoge stroomsnelheid resulteerde in meer biofilm verwijdering uit isthmusachtige structuren, terwijl voor het laterale kanaal de stroomsnelheid geen invloed had op de biofilmverwijdering. Verversing van de spoelvloeistof had geen significant effect op de verwijdering van biofilm.

Op basis van de resultaten verkregen uit het onderzoek besproken in de vorige hoofdstukken, behandelt **Hoofdstuk 7** de bevindingen en de verschillende gebruikte biofilm modellen en hun onderlinge samenhang. We geven ook een overzicht van de toekomstperspectieven op basis van de uitgevoerde onderzoeken om desinfectieprocedures tijdens de endodontische behandeling te optimaliseren. Dit zou mogelijk kunnen resulteren in een verbetering van de genezing van apicale parodontitis.

SUMÁRIO

O biofilme no sistema de canais radiculares representa um grande desafio durante a desinfecção na terapia endodôntica, principalmente aquele localizado em áreas de complexidade anatômica. O **Capítulo 1** fornece uma breve visão geral de como a infecção endodôntica começa e continua seu caminho no sistema de canais radiculares, onde as bactérias crescem e formam biofilmes. Além disso, mostra como as complexidades anatômicas do sistema de canais radiculares podem influenciar a desinfecção e os agentes antimicrobianos mais comuns utilizados na terapia endodôntica.

Assim, o **Capítulo 2** enfoca na medicação intracanal mais comumente utilizada entre sessões na terapia endodôntica: a pasta de hidróxido de cálcio. Assim, neste estudo, avaliamos a capacidade de descontaminação intratubular de cinco diferentes formulações de pastas de hidróxido de cálcio contra *Enterococcus faecalis* e a penetrabilidade destas pastas na dentina, por Microscopia Confocal de Varredura a Laser. As propriedades físico-químicas das diferentes pastas de hidróxido de cálcio, como pH, solubilidade e liberação de íons cálcio, foram avaliadas por um medidor de pH, o Micro CT e um espectrofotômetro de absorção atômica. Para a manipulação do hidróxido de cálcio água destilada e propilenoglicol foram utilizados como veículos. Além disso, o veículo propilenoglicol foi utilizado com três aditivos: extrato etanólico de própolis, clorexidina e paramonoclorofenol canforado (CPMC). A pasta com CPMC teve significativamente maior eficácia antimicrobiana quando comparada à pasta com água destilada. De maneira geral, as pastas que tinham propilenoglicol

como veículo mostraram melhor eficácia antimicrobiana, penetrabilidade nos túbulos dentinários e apresentaram as melhores propriedades físico-químicas.

No **Capítulo 3**, avaliamos a eficácia antimicrobiana de um agente irrigante inovador com Nanopartículas de prata em comparação com a clorexidina e o irrigante padrão ouro hipoclorito de sódio. Os irrigantes foram testados por 5, 15 e 30 minutos em um biofilme de *Enterococcus faecalis* cultivado em blocos de dentina bovina e no interior de túbulos dentinários de dentes bovinos. As amostras foram analisadas por Microscopia Confocal de Varredura a Laser mostrando que o hipoclorito de sódio apresentou o melhor efeito antibiofilme tanto nos blocos de dente bovino quanto nos túbulos dentinários, seguido pela clorexidina e o irrigante com Nanopartículas de prata. Este último demonstrou ser ineficaz contra *Enterococcus faecalis* em comparação com os outros irrigantes testados.

No **Capítulo 4**, uma análise por Tomografia Óptica de Coerência da remoção de biofilme de modelos de canais radiculares de polidimetilsiloxano com complexidades anatômicas, com estruturas semelhantes a istmos e a canais laterais foi descrita pela primeira vez. A solução irrigadora hipoclorito de sódio foi utilizada em várias concentrações e em diferentes taxas de fluxo para irrigação do canal radicular, seguido de uma irrigação final com solução tampão em alta taxa de fluxo. Além disso, a velocidade do irrigante dentro do modelo de canal radicular foi medida em diferentes taxas de fluxo por meio de Fluidodinâmica Computacional e correlacionada com a remoção do biofilme. A taxa de fluxo de irrigante teve mais influência na remoção do biofilme do que sua concentração. A velocidade do irrigante influenciou a

remoção do biofilme, pois em áreas com velocidades mais altas, mais biofilme foi removido. Uma alta taxa de fluxo também foi relacionada à maior velocidade de irrigação no interior do modelo.

No **Capítulo 5**, apresentamos uma comparação entre quatro diferentes protocolos de irrigação quanto à capacidade de remover biofilme do modelo de canal radicular com istmos e canais laterais e de túbulos dentinários, por meio de Tomografia Óptica de Coerência ou Microscopia Confocal de Varredura a Laser, respectivamente. Os protocolos de irrigação utilizados foram irrigação com seringa utilizando solução tampão (grupo controle), solução salina modificada chamada Risa, Hipoclorito de sódio e ativação ultrassônica da solução tampão, seguida de irrigação final com alta taxa de fluxo utilizando a solução tampão. O efeito mecânico da irrigação com seringa mostrou-se um fator relevante a ser observado no estudo da remoção de biofilme. A ativação ultrassônica do irrigante mostrou-se eficaz quando a superfície de contato do biofilme-irrigante era pequena. No modelo dos túbulos dentinários, além da eficácia antimicrobiana, uma análise de recolonização foi realizada cinco dias após o tratamento. Esta análise mostrou que o biofilme remanescente após o tratamento foi capaz de sobreviver e crescer no interior dos túbulos dentinários em um período de cinco dias sem qualquer nutrição extra. O efeito antibiofilme da solução tampão, Risa e Hipoclorito de sódio foi testado em um biofilme sobre discos de dentina. A redução da espessura do biofilme e as propriedades viscoelásticas do biofilme remanescente após o tratamento foram avaliadas não mostrando diferença entre as substâncias estudadas.

No **Capítulo 6**, investigamos ainda a influência do irrigante, taxa de fluxo, renovação do irrigante e tempo de exposição na remoção de biofilme dos modelos de canal radicular por meio de Tomografia Óptica de Coerência. Hipoclorito de sódio e água desmineralizada (grupo controle) foram utilizados como soluções irrigadoras levadas ao modelo de canal radicular com uma taxa de fluxo de 0,05 ou 0,1 mL/s. O hipoclorito de sódio e a maior taxa de fluxo apresentaram maior remoção de biofilme das estruturas semelhantes ao istmo, enquanto que, para o canal lateral a taxa de fluxo não teve influência. As amostras foram divididas em diferentes grupos de acordo com o número de renovações da solução irrigadora e essa variável não melhorou a remoção do biofilme. A análise de sequenciais renovações no mesmo biofilme mostrou um efeito cumulativo da irrigação no biofilme.

Com base nos resultados obtidos nos estudos descritos nos capítulos anteriores, o **Capítulo 7** discutiu os achados, diferentes modelos de biofilme utilizados e correlações entre eles. Além disso, fornece uma visão geral das perspectivas futuras com base nos estudos realizados, a fim de otimizar os procedimentos de desinfecção durante a terapia endodôntica, possivelmente levando a uma melhora na cicatrização da periodontite apical.

ACKNOWLEDGEMENTS



Acknowledgements

During my PhD I confirmed that we cannot do anything alone. I feel very grateful for having met such wonderful persons in Brazil and in The Netherlands that helped me to make it possible! Then, I firstly want to thank God and the Universe for the abundance that flows into my life! Thank you for everyone that helped me in some way!

To my supervisor **Prof. Dr. Flaviana Bombarda de Andrade**, who is not only my supervisor, but a friend for life! Thank you for supporting my ideas since I was not even your student yet! Thank you for your kindness, professional and personal advices, and for being this great supervisor! You always trusted in my work and showed that with patience and persistence we can achieve anything we want! Thank you for sharing your story, knowledge and experience with me!

To my supervisor **Prof. Dr. Luc van der Sluis** for giving me the opportunity to study in The Netherlands, twice. These opportunities completely and positively changed my life and I will always be grateful. Thank you for the amazing work we made! Thank you for your attentive guideness and kindness. Your empathy and solicitude made the move to a new university easier! You always transmitted security by your politeness, tranquility and brilliant ideas.

To **Prof. Dr. Carlos Ferreira dos Santos** for being this wonderful person! You supported and advised me everytime I needed even in your busiest moments! You are an example of person and professional for all students of Bauru School of Dentistry! Thank you for making the time coming to the Netherlands and participating of my defense! I can only be thankful to be your student!

To **Prof. Dr. W.J. van der Meer** for his great contribution in the present work, his sympathy and politeness! Thank you for the time and effort invested in this thesis!

To **Prof. Dr. Prashant Kumar Sharma** from the Biomedical Engineering department of the University of Groningen, for his co-orientation in my work, for help and pleasant moments of conversation!

To all professors from the endodontics department of the Bauru School of Dentistry that taught me so much since undergraduate times **Prof. Dr. Clóvis Monteiro Bramante, Prof. Dr. Ivaldo Gomes de Moraes (in memorian), Dr. Marco Antonio Húngaro Duarte, Prof. Dr. Murilo Alcalde, Prof. Dr. Roberto Brandão, Prof. Dr. Rodrigo Ricci Vivan**, thank you for passing on your knowledge and making a department of excellence.

To **Prof. Dr. Marco Antonio Hungaro Duarte**, for all the ideas and hints shared throughout my studies since my scientific initiation in undergraduate school to doctorate.

To **René Dijkstra** who had an effective participation in the performance of the studies present in this thesis. For helping me so much on my first day in The Netherlands, for all the scientific and dutch advice given!

To **Xenos Petridis** for his great contribution in this work, for his brilliant and humble way of sharing his knowledge!

To all the opponents of the Assessment and Promotion Committee for the time reading and evaluating my thesis!

To all employees and technicians of the Biomedical Engineering department of the University of Groningen where I conducted my research in The Netherlands, for their technical assistance throughout this study.

To all employees and professors of the Department of Dentistry and Oral Hygiene at the University of Groningen!

To the employees of the Bauru School of Dentistry **Suely Regina Bettio** and **Edimauro de Andrade**, for all their help, thank you very much! To the laboratory

specialist **Márcia Sirlene Zardin Graeff**, for her help with the Laser Scanning Confocal Microscope and for making these days of hard work so enjoyable!

To my colleagues in the post-graduation course in the Endodontics department in Brazil. Thank you for all the good times and knowledge we shared, I wish you all success.

To **Clarissa Rodrigues Teles** for working together and having a great time! A person always willing to help! I really admire your kindness, intelligence and discipline!

I want to thank my colleagues from Curitiba from UFPR and São Leopoldo Mandic Faculty **André Michelotto, Antonio Batista, Gilson Sydney (in memorian), Maria Carolina Lucato Budziak, Gustavo Carvalho** and **Marili Doro** for the opportunity to work together in such a pleasant and enriching way! Thank you for letting me enhance my endodontics skills with you! I learned a lot from all of you!

To the friends that The Netherlands brought me: **Matheus** who was a real brother in my first period in The Netherlands. For all your support and caring! You made my days in Groningen more fun and happier! You could change any bad day into a big laugh! Thank you so much for your friendship! **Mari** for all the good times and good talks we had together! For the trips, advices, help with the doctorate and support in difficult times! Your prudence always helped me to see things clearly! The moments with you and Matheus will be always in a special place of my heart! To my friends **Yanyan, Abby, Maria, Olga, Damla** and **Alejandro** for all the moments that we shared experiences and afflictions! I appreciate every little moment I have shared with each one of you! You colored my life in The Netherlands! For all colleagues of the Biomedical Engineering department for the chilling moments and shared experiences! To the special friends I made in the south of The Netherlands **Eli, Emilio, Eveline, Julio, Wendy, Rami, Oscar, Birte** and specially to **Gianfranco** who helped me and contributed to this work! Thank you for having received me as a friend! For all the good moments together!

To my friend **Luara** for her honest friendship, advice shared, trips and good moments! To **Angie, Melody** and **Estefania** for all supportive and funny moments, and for all the academic advices!

I want to thank my childhood friends: To **Raissa** with whom I have a friendship of 25 years. You are always present in my life even in the distance! For being one of my motivators and inspiration in my studies! To my friend **Larissa** for her friendship, optimism and support. You are one of the best persons I ever met! To my friend **Juliana** for our friendship and affection, for all the lessons learned with enriching conversations! To my friends **Ivana, Victor** and **Natália** for the good times, for staying always by my side, for the beautiful and lasting friendship! To my friends **Lilian, Camila** and **Carol** for their sincere friendship! We grew up and learned a lot of things together, we followed different paths but our friendship always remains the same!

To the gifts that FOB brought me: **Patricia** my eternal clinical partner that became a friend for life! Thank you for all the conversations and shared experiences! For listening to me and for wise and focused advices! I admire you a lot! **Fabiana** my friend of adventures, work and extraordinary times! I know I can always count on you! I am profoundly thankful for all the understanding and encouragement! To **Ygor** for his friendship over the years, moments of support but also a lot of fun! The moments we four passed together are the ones I will always miss!

To my friend **Mariana Maciel Borges** for her friendship, motivation and daily support! The person with I spoke to almost every day throughout this PhD and with whom I shared all the good times and the not-so-good ones! Thank you for all the good moments shared! Thank you for being such a present and enterprising friend that motivates me to do more and more things! I'm sure we will be very successful together!

To my whole family:

To my grandmother **Alice**, an extremely kind person with unconditional love! For helping me and my family everytime we needed! For being such a cute grandmother that transmits love in all her words!

To the **Pereira** family, especially my godparents **Sueli** and **Marcos**, my cousins **Mariana** and **Marília** who have always been present in the most important moments of my life! Thank you for always being together!

To my grandmother **Célia** (in memorian) an incredibly good person who always believed in me, since I was 7 and wanted to write a book! You were present in all important moments of my life and I know you will always be!

To the **Ferreira** family for being such a close family! I want to specially thank my aunt **Rosana** and my uncle **Eduardo** for their support, love and kindness and to my uncle **Beto** who, with an unique method, motivated me to study and helped me to start!

To my new Spanish family, **Antonia** and **Catalina** for treating and receiving me like a daughter! For all the help and affection, for making me feel at home!

To my sisters and my brother: **Isadora**, **Bia** and **João**. You are the best friends I could have! I admire and love you so much! I am very proud to have you in my life! Thank you for always being there, for supporting me and for teaching me so much! **Isa** I am so proud of you, you are my example of strength and independence! Thank you for helping me in the decisive moments, for always reassuring me! **Bia** you are my example of understanding and emotional intelligence! You have the ability to cheer me up and make everything look better! **João** you are my example of intelligence! Thank you for listening and supporting me in difficult times! Thank you for always being by my side, I'm sure you will have a brilliant future! I love you!

To my husband, life partner, best friend **Víctor**, the most special person I have ever met! You are the person that made me believe that love and loyalty between a couple exist! Thank you for being my peace, my strength, my balance! Thank you for being with me whenever I needed, for reading what I wrote, for trusting in me and in my abilities! Thank you for being so proud of me and for reassuring me in times of doubt and concern! Thank you for making everything to ensure my happiness! Thank you for making me feel like home! You are home! I'm sure I had to come to the Netherlands just to meet you! I love you!

To my Father **Arlindo**, a person with whom I have so many similarities! Thank you for your support, for understanding me, for being present in the most important moments, even when you had to make big sacrifices for that, for being so proud of me and for worrying about my happiness! I love you so much!

To my mother, **Silvia**, with her relentless drive to do her best for our family! For supporting me in all my decisions! For always having insisted on guaranteeing the best education for me! For all you have faced on my benefit! You are the person I love and admire most in the world! The person I miss the most every day! Thank you for teaching me how to be a good person, thank you for giving me your principles, thank you for choosing to be my mother! You are my example of love and kindness, strength and empathy! It is hard to express how grateful I am to you in few words! I love you so much!

CURRICULUM VITAE

Thais Cristina Pereira was born in 1989 in Promissão, São Paulo, Brazil. At the age of 18 she started to study dentistry at Bauru School of Dentistry, University of São Paulo. She started doing research during her third year in the university, in a project funded by CNPq/Pibic program. In 2012 she started her specialization in Endodontics in the Hospital for the Rehabilitation of Craniofacial Anomalies of the University of São Paulo - HRAC/USP. One year later (2013), she started her master in Applied Oral Sciences focused in Endodontics at Bauru School of Dentistry, University of São Paulo and was sponsored by São Paulo Research Foundation (FAPESP). In 2015 she started the double degree program between University of São Paulo and University of Groningen. The research was sponsored by Capes during the time conducted in Brazil and Abel Tasman Talent Project from the Graduate School of Medical Sciences, University of Groningen during the time conducted in the Netherlands.

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